Supreme Court of Victoria RedCrest e-Filing User Guide

July 2018



Supreme Court of Victoria 210 William Street Melbourne Victoria 3000



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1 Introduction

From 2 July 2018 all documents to be filed in the Supreme Court of Victoria in any proceeding in the Commercial Court, Common Law Division or Costs Court must be submitted in RedCrest, the electronic filing system in operation in the Court. Prior to that date, documents submitted in RedCrest were limited to those in Judge managed proceedings in the Commercial Court.

This User Guide describes the process for using RedCrest to electronically file ("eFile") documents and to view electronic files.

Any reference to the "Rules" or "Rules of Court" in this guide are references to the *Supreme Court (General Civil Procedure) Rules 2015.*

Any reference to the "RedCrest Practice Note" in this guide are references to Practice Note SC GEN 19 - RedCrest Electronic Case Management System.

1.1 Who can eFile?

Any individual that has registered and has been issued with a username (currently the email address the user registered with) and password may eFile in RedCrest. Each registered user agrees to the terms and conditions of use provided to them at the time of registration as a condition of being issued with a username and password.

The current terms and conditions of use provide that a registered user agrees to:

- 1. comply with Order 28A of the Rules and the RedCrest Practice Note;
- 2. ensure that the issued username and password remain confidential, for the exclusive use of that registered user, or any person duly authorised to lodge on that user's behalf;
- 3. to comply with any condition or limitation of registration issued in relation to RedCrest;
- 4. to protect and maintain the confidentiality of any parts of a Court file in RedCrest deemed "confidential"; and
- 5. to pay court fees (if any) prescribed by the Supreme Court (Fees) Regulations 2017.

1.2 When can documents be eFiled?

Documents can be submitted for filing in RedCrest 24 hours a day, 7 days a week.

All documents submitted for filing are subject to review by a Court Registry Officer during office hours (9.30 am to 4 pm). Documents submitted for filing are not automatically accepted. The Court will endeavour to accept all documents for filing as soon as practicable, and sometimes documents submitted for filing may be subject to overnight review.

Generally, on being sealed, a document is taken to have been filed at the time and on the date it was first submitted in RedCrest for filing, regardless of the time and/or date it was accepted for filing (see rule 28A.04(4) of the Rules).

1.3 What is the cost of eFiling?

The usual court fees apply and are payable via RedCrest. You will only be charged for documents which are accepted for filing and sealed by the Prothonotary. You will not be charged for any documents that are not sealed or that are rejected for filing. A full list of

fees and charges are available at <u>https://www.supremecourt.vic.gov.au/forms-fees-and-services/fees</u>.

1.4 Court Seal and Service

All documents eFiled in RedCrest will be sealed with the Court seal and the date and time of filing once they have been accepted for filing by the Prothonotary.

Once sealed, documents will need to be served in the usual way as required by the Rules.

1.5 What form of documents is required?

1.5.1 Format and File Size?

All documents are required to be eFiled in pdf format and cannot be larger than 24MB in size. Where a document is larger than 24MB, it should be split into a series of documents not greater than 24MB each and filed together as one document type – those documents will merge into one upon acceptance for filing.

1.5.2 Compliance with the Rules & Practice Notes

All documents submitted for eFiling in RedCrest must comply with the requirements of all relevant legislation, including any Acts, Regulations and Rules of Court as may apply. You should have particular regard to Order 28A of the Rules which sets out the key rules for eFiling.

As well as ensuring compliance with all relevant legislation, please ensure that the requirements of all relevant Practice Notes have been satisfied where applicable. This extends to and includes the necessity in certain instances to:

- (a) ensure a specialist list is nominated in the heading of the proceeding upon initiation; and
- (b) obtain pre-approval for return dates and ensure that those approved hearing details are fully completed in the submitted documents prior to eFiling. Evidence of the pre-approval should also be attached.

The forms in current usage, prescribed or otherwise, continue to apply to all documents submitted for eFiling in RedCrest.

1.5.3 Which documents can be eFiled?

All documents in any proceeding within the Commercial Court, Common Law Division or Costs Court which are required to be filed, must be eFiled, except for:

- (a) any appeal book;
- (b) any court book;
- (c) any documents produced in answer to a subpoena or public examination; or
- (d) for a Commercial Court Judge-managed matter, any document which is confidential between the parties.

1.5.4 Hard copy documents

Hard copy documents are not required to be filed in addition to eFiled documents unless requested by a judicial officer or the Prothonotary or for some other process with the Court.

1.5.5 Specific Documents

Affidavits and exhibits must be filed as separate document types. Otherwise, further details for some commonly filed specific documents is set out as Annexure A.

1.6 Commercial in Confidence and Sensitive Documents or Materials

Parties should keep in mind that generally, once eFiled in RedCrest, documents are available for inspection immediately by the other party to the proceeding in RedCrest. Where eFiling material is commercial-in-confidence or otherwise sensitive, parties should consider seeking an appropriate order of the Court in advance of filing pursuant to rule 28A.06 of the Rules. Parties should seek advice from the appropriate Registry before eFiling any such material. Rule 28.05 of the Rules continues to set out the rules for inspection of documents in a proceeding that have been eFiled in RedCrest.

1.7 Urgent Documents

Applications of a genuinely urgent nature should be brought in accordance with the process set out in Practice Note SC CC 1 – Commercial Court for Commercial Court matters (see https://www.supremecourt.vic.gov.au/law-and-practice/practice-notes/practice-notes-archive/practice-notes-trial-division-archive/sc-1) or Practice Note SC CL 10 – Practice Court (Common Law) Practice Court Procedures (Common Law) (see https://www.supremecourt.vic.gov.au/law-and-practice/practice-notes/practice-notes-trial-division-archive/sc-1) or Practice Note SC CL 10 – Practice Court (Common Law) Practice Court Procedures (Common Law) (see https://www.supremecourt.vic.gov.au/law-and-practice/practice-notes/sc-cl-10-practice-court-common-law). Always contact the relevant Registry for further instructions before filing an urgent document.

Where an urgent document needs to be considered for filing, a note outlining the urgency should be inserted into the **Filing Note** field in RedCrest. See **Filing Note**.

1.8 When the Wrong Document is filed

Please refer to the RedCrest Practice Note for details regarding the process to remove an eFiled document from RedCrest. Contact the relevant Registry for further advice.

2 Registering for electronic filing

You will need to be registered before you can file electronically.

2.1 Register Online

- 1. Go to www.redcrest.com.au
- 2. Click Register Here

The Email window displays

Email	
	Please enter your email address Email ×
< Previous Next >	Cancel Finish

3. Enter your email address and click Next

The Personal Information window displays

Personal Informat	ion
Password *	
Confirm Password *	
Organization Name	
Title	
First Name *	
Last Name *	
Middle Name	
Post Nominals	
Address *	
City *	
State *	Choose One
Postcode *	
Phone	
Date of Birth	

Field	Details				
Password	 Must be over 8 characters long and contain a mix of: Upper case (A, B, C, D, E) Lower case (a, b, c, d, e) Number (1, 2, 3, 4,) Non alpha-numeric (!, @, #, \$) Hint: A passphrase is easier to remember than a random grouping of letters and characters, e.g. Myp@ssw0rdisagood1 				
Confirm Password	Must match Password.				
Organization Name	Your practitioner firm name (if applicable)				
First Name	Your first name.				
Last Name	Your last name.				
Address	Your business address.				
City	City of your business.				
State	State of your business.				
Postcode	Postcode of your business.				
Phone	Your work phone number.				

4. In the **Personal Information** window, fill out the following:

5. Click **Finish**.

2.1.1 Confirm via Email

- 1. Go to your email Inbox.
- 2. Check for a verification email with RedCrest in the address.

Dear

Welcome to RedCrest, the Supreme Court of Victoria's electronic filing and case management system for judgemanaged matters filed in the Commercial Court. From 2 July 2018, all documents for Common Law, Costs Court and Commercial Court matters will also be required to be electronically filed using RedCrest.

Complete your registration - Action Required

To complete your registration, please click here to confirm your email address.

Terms and Conditions of Usage

Each registered user issued with a RedCrest username and password shall, as a condition of being issued with a username and password, agree to the following terms and conditions of use:

- to comply with Order 28A of the Supreme Court (General Civil Procedure) Rules 2015 and the RedCrest Practice Note;
- to ensure that the issued username and password remain confidential, for the exclusive use of that registered user, or any person duly authorised to lodge on that user's behalf;
- 3. to comply with any condition or limitation of registration issued in relation to RedCrest;
- 4. to protect and maintain the confidentiality of any parts of a Court file in RedCrest deemed "confidential"; and
- 5. to pay court fees (if any) prescribed by the Supreme Court (Fees) Regulations 2017.

Once your registration has been approved, you will receive a confirmation email.

Yours sincerely,

Supreme Court of Victoria

Note: If you have not received the verification email shortly after registration:

- Check your junk mail folder and your email spam filter.
- If you still cannot locate the email, contact the RedCrest Help Desk.
- 3. Read the Terms and Conditions of RedCrest use and then click **please click here to confirm your email address** link.

You will receive another email confirming your access is authorised.

3 Log into Electronic Filing

To access electronic filing:

1. Go to the Electronic Filing page: www.redcrest.com.au

The Home Page displays

Suprer	ne Court of Victoria - Electronic Filing	
Court Information Exhibiting Like Comment(Court Line Exhibiting Like Exhibiting Like Exhibiting Court Line Exh	PackCreest Electronic Filing Webore to the Sperem Court of Victors' electronic flag (rifing) system currently used for judge-managed matters field in the Commercial Court. Fina J. July 2016, Court uses will be magated to use this system to initiate cases and the new document's relating to matters across the Common Court Of Victors' electronic flag (Victors') electronic flag (Victors') electronic flag Final J. July 2016, Court of Victors' electro	Sign In Repetition allows you to security initials, the countents and wire used like order Acad, Cade, there is figurate Cade, Cade, Cade, there is figurate Cade, Cade, Cade, there is figurate Cade, Cade, there is figurate Cad

2. Click Sign in.

The Login window displays

Supreme Court of Victoria - Electronic Filing	Ð
Login	
User Name • @Supcourt vic gov.au × Password • Login Ecrool Password?	

- 3. Enter your:
 - User Name (your email address)
 - Password

You will be redirected to the Electronic Filing Home Page which is a secure section of the system.

Supreme Court of Victoria - Electronic Filing							
Joh Show Hollie Search erlie Orders Cases				~ ~ ~	a(/) LUGUII	2	
Case #	Case Number	Party Type	Case Type	Status	File Date		
Last Name	🛉 🛉 S ECI 2018 00013	Plaintiff	Commercial Court (Corporations)	Open	23/03/2018		
First Name	★ ∳ SECI 2018 00013	Defendant	Commercial Court (Corporations)	Open	23/03/2018		
Or Search by Business Name							
Company Name							
Case Type							
All Cases Commercial Court Commercial Court (Corporations)	1						
Pending Cases Only	4						
File Date Search Range:							

4 My Filings page

- Supreme Court of Victoria Electronic Filing
- 1. From the menu bar, click eFile.

Your My Filings page will display.

y Filings	3							
Course Ma	C	Case N	mber					
Create Ne	w case Request _ Create Sub	equent r lling	inder					_
Filing State	ss Filing Type Filing Type Vew Case I Subsequent ewing cted ed spled pleted	efile ID lequest I filing Reference Tags Rows per page	Last Modified Bogin Date Last Modified End Date Filling Bogin Date Filling End Date	22/01/2014 III MAAladiyyyy III MAAladiyyyy III MAAladiyyyy III MAAladiyyyy				
Search Showing 1 to eFile ID	es of s Filing Type	Case Number	Case Tille		Filing Status	File Date	Cost	
258	New Case Request	2014 CV 000416	2014 CV 000416 VB et al -vs- PAYNE, SALLY et al CEC		Accepted	02/27/2014 08:41 PM	\$302.50	3
253	New Case Request	2014 CV 000411	2014 CV 000411 PL -vs- ANDERSON, RANDALL CEC		Accepted	02/27/2014 09:35 AM	\$502.50	-
210	Subsequent Filing	2004 CV 00085	2004 CV 00085 JS -vs- Jones, Joseph JL		Accepted	02/04/2014 02:02 PM	\$164.50	
:09	Subsequent Filing	2014 CV 000396	2014 CV 000396 RJ -vs- WILLIAMS, ROGER CEC		Reviewing	02/04/2014 12:36 PM	\$164.50	
:06	Subsequent Filing	2012 CV 000280	2012 CV 000280 CJ et al -vs- Dant, Joseph TP		Reviewing	02/03/2014 06:10 PM	\$2.50	
:05	Subsequent Filing	2004 CV 00085	2004 CV 00085 JS -vs- Jones, Joseph JL		Filed	02/03/2014 06:10 PM	\$2.50	
203	New Case Request				Reviewing	01/27/2014 10:39 AM	\$299.50	

- 2. From this screen, you can:
- Create New Case Request eFile a new proceeding.
- Create Subsequent Filing on existing cases.
- Save partially completed work to submit at a later time.
- Monitor the status of filings.
- Make corrections on filings that have not yet been reviewed.by the Court.

4.1 Search My Filings

The top section of the My Filings page has a series of search options which enable you to search for specific records.

My F	My Filings							
Crea	Create New Case Request Create Subsequent Filing Case Number							
Filing V V V Sear	y Status Draft Filed Reviewing Refied Accepted Completed th	eFile ID Last Modified Begin Date Site Case Number Last Modified End Date Default Case Title Filing Begin Date Court Reference Tags Filing End Date Commercial Court (Corporations) Coonmercial Court (Corporations) COA - Civil Application [X] COA - Civil Appleal [X]						
Field		Details						
Filing Status								
Draft		Filing has been created but not yet submitted by the Filer.						
	Submitted	Filing has been submitted and is waiting for review by the Registry						

			The date and time submitted will become the electronic Time Stamp date and time once, or if, the Filing is Accepted by the Reviewer.				
			will change the filing date/time (time stamp date) of the Filing to the new date/time that the Filing was Refiled.				
	•	Reviewing	Filing is being reviewed by the Reviewer and the Filing can no longer be modified by the Filer unless rejected by the Reviewer.				
			The Reviewer has not accepted the Filing and it is returned to the My Filings queue.				
	•	Rejected	Filings that have not been accepted and are then Refiled will be time- stamped with the original filed/submitted date/time if, or once, accepted by the Reviewer.				
			If the filing has been rejected, no payment has been deducted and the hold on the filer's account will be released.				
	•	Refiled	A previously Rejected Filing has been modified by the Filer and has been Refiled and is waiting for review by the Reviewer.				
			The Filing has been accepted by Reviewer.				
	•	Accepted	Filings are now electronically Time Stamped with the date submitted (or Refiled).				
			The Payment process is completed.				
	•	Completed	Filing has been completed, and the case has been created and/or, the filing has been added to the Court File.				
Fi	ling	Туре					
	•	New Case Request	Filing a New Case request.				
	•	Subsequent Filing	Additional Filing on an existing proceeding.				
eF	ile	D	The eFile number generated when the document was submitted for filing.				
Са	ase	Number	The court allocated number specific to the Case. This field is case sensitive and must be entered <u>exactly</u> as it appears on the confirmation stamp (eg. S CI 201x 0xxxx or S ECI 201x 0xxxx).				
Ca	ase	Title	A combination of Case Number and Party Name.				
R	efer	ence Tags	Practitioner's internal reference number.				
Rows per page			How many records to display per page.				
Si	te		The Court site where the file was lodged (note, this will default to Supreme Court).				
Са	ase	Туре	The Division of the proceeding and/or List descriptor e.g. Common Law (General), Commercial Court (Judge managed) or (Non Judge Managed) etc.				

To search for an eFile:

- 1. In the relevant fields, tick and enter the required search criteria.
- 2. Click Search.

The Search results that fall within the search criteria display in the bottom section of the screen.

Showing 1 to 8 of 8							
eFile ID	Filing Type	Case Number	Case Title	Filing Status	File Date	Cost	
258	New Case Request	2014 CV 000416	2014 CV 000416 VB et al -vs- PAYNE, SALLY et al CEC	Accepted	02/27/2014 08:41 PM	\$302.50	+
253	New Case Request	2014 CV 000411	2014 CV 000411 PL -vs- ANDERSON, RANDALL CEC	Accepted	02/27/2014 09:35 AM	\$502.50	+
210	Subsequent Filing	2004 CV 00085	2004 CV 00085 JS -vs- Jones, Joseph JL	Accepted	02/04/2014 02:02 PM	\$164.50	+
209	Subsequent Filing	2014 CV 000396	2014 CV 000396 RJ -vs- WILLIAMS, ROGER CEC	Reviewing	02/04/2014 12:36 PM	\$164.50	+
206	Subsequent Filing	2012 CV 000280	2012 CV 000280 CJ et al -vs- Dant, Joseph TP	Reviewing	02/03/2014 06:10 PM	\$2.50	+
205	Subsequent Filing	2004 CV 00085	2004 CV 00085 JS -vs- Jones, Joseph JL	Filed	02/03/2014 06:10 PM	\$2.50	+
203	New Case Request			Reviewing	01/27/2014 10:39 AM	\$299.50	
214	New Case Request			Draft		\$299.50	
							Delete

4.1.1 Display Approved Filings

By default, approved Filings, which are on the Cases page, will not display in My Filings queue.

To see these filings:

- 1. In the Filing Status search field, check the Completed checkbox
- 2. Click Search.

The completed filings will now be visible.

Corr Search	pleted			COA	- Сілії (Х.) Appeal (Х.)	*	
Showing 1	to 1 of 1						
eFile ID	Filing Type	Case Number	Case Title	Filing Status	File Date	Cost	
3714	New Case Request	S ECI 2018 00013	S ECI 2018 00013 In the matter of Smith and Co.	Completed	23/03/2018 02:38 PM	\$.00	•
							Delete

5 Create a New Case Request

1. Click eFile to access My Filings page.



2. Click Create a New Case Request

The New Case Request screen displays.

New Case Request								
Filer	Test FilerOne Test Filer One Company	Law Firm Bar Roll Number						
Status	Draft	Reference Tags						
Site	* Supreme Court							
Case Type	* Choose One	~						
Initiating Action	*							

Note: All fields with an asterisk * must be filled in.

3. Enter the following:

Field	Details				
Practitioner/Bar Roll Number	Type your firm's number issued by the Legal Services BoardNote:If an error appears, try tabbing out of the field and entering it again after selecting Case Type.If the error continues, leave the field blank.				
Reference Tags	Enter a Reference tag, if required.Note: This is not a mandatory tag but can be used to record your firm's internal case reference number.				
Site *	Pre-filled for the Supreme Court.				
Case Type *	Select the Division of the proceeding and/or List descriptor e.g. Common Law (General), Commercial Court (Judge managed) or (Non Judge Managed) etc.				
Initiating Action *	Select the case claim. For example, Corporations – Bankruptcy & Insolvency.				

5.1 Add Parties – Party 1

Note: The **New Case Request** screen displays data fields for two parties. These instructions apply to both Party 1 and Party 2 and any additional parties you may need to add.

Parties					
Party 1					
Party Type *	Plaintiff	Address Type	V	Phone Type	V
Role Type	~	Address		Phone	
Legal Representative				Email	casemanageremail@mail.con
On Behalf Of		City			
Last Name *	Smith	State			
First Name 🔹	John	Desteads			
Middle Name		Postcode			
Post Nominals	V				
Company *					
Affiliation/Alia:	8				
Add Affiliation	n/Alias				
Affiliation/Alias	s n/Alias				

1. Under Parties, in the Party 1 section, enter the following:

Field	Details
Party Type *	Select the Party Type e.g. Plaintiff, Defendant etc. Please note that "Corporation" as a party type should only be used for Corporations List proceedings
Role Type	Select the Role Type e.g. Estate Agent, Guarantor, Guardian etc. Note: Not currently required for the Supreme Court.
Rep by Solicitor	Tick this box if the Party will be represented by a Solicitor.
On Behalf Of	Tick this box if you are representing yourself.
Last Name * First Name * Middle Name	Type the last name, first name and middle name (optional) of the Party. Note: You can either enter a name or a company – not both. Further Note: if the party sues or is being sued in a representative capacity (e.g. as executor, litigation guardian, liquidator etc.) enter into the Company field.
Post Nominals	Select from Junior or Senior. Note: Not currently required for the Supreme Court.
	Type the name of the Company.
Company *	 Note: You can either enter a name or a company – not both. Further Note: if the party sues or is being sued in a representative capacity (e.g. as executor, litigation guardian, liquidator etc.) enter into the Company field.
Company * Address Type	 Note: You can either enter a name or a company – not both. Further Note: if the party sues or is being sued in a representative capacity (e.g. as executor, litigation guardian, liquidator etc.) enter into the Company field. Select the Address Type e.g. Business, Home etc.
Company * Address Type Address City State Postcode	 Note: You can either enter a name or a company – not both. Further Note: if the party sues or is being sued in a representative capacity (e.g. as executor, litigation guardian, liquidator etc.) enter into the Company field. Select the Address Type e.g. Business, Home etc. Enter the address corresponding to the Address Type. Note: If commencing by solicitor, enter firm address.

Phone	Enter the phone number corresponding to the Phone Type.						
Email	Enter the email of the Case Manager. The Case Manager is the practitioner or party responsible for the conduct of the proceeding whose details appear in the 'tram tracks' on the document being submitted for filing.						
Eman	Note: For Judge managed proceedings in the Commercial Court only, Notifications of Electronic Filing for your party in this particular proceeding will be sent to this email address. Notifications of Electronic Filing will not be sent in other proceedings.						

5.1.1 Add Parties - Party 2

• Follow the steps in Add Parties - Party 1

5.1.2 Add Additional Parties

Party 2				
Party Type *	~	Address Type	Phone Type	~
Role Type		Address	Phone	
Rep by Solicitor			Email	
On Behalf Of				
Last Name *		City		
First Name *		State		
Middle Name		Postcode		
Post Nominals	~			
Company *				
Affiliation/Alia	\$			
Add Affiliatio	n/Alias			
				Delete
Add Party				

To Add Additional Parties:

1. Click Add Party

The Party 3 window displays.

Party 3						
Party Type *	~	Address Type		Phone Type	~	
Role Type		Address		Phone		
Rep by Solicitor				Email		
On Behalf Of						
Last Name *		City				
First Name *		State	V			
Middle Name		Postcode				
Post Nominals	~					
Company *						
Affiliation/Alia	S					
Add Affiliatio	n/Alias					
						Delete
Add Party						

- 2. Follow the steps in.
- 3. Continue to follow instructions in Add Additional Parties until all parties are entered.
- **Note:** In a proceeding initiated within the Corporations List Case Types, three parties will be required before you can continue with your filing, being the Plaintiff, Defendant and the Corporation.

Important Do not enter the email address for any defendant/s or respondent/s.

5.2 Add Documents

Documents					
Document 1					
Document Type *	Commence Case - Writ filed	\checkmark	Attachments		
Filing Fee	\$1,065.10		File Name	Page Count Date Uploaded	
Page Count	1		Writ.pdf	1 28/06/2018 04:44 PM Delete	
Document Note	Writ filed by the Plaintiff.		Upload Attachment	Browse (PDF 24000 KB max)	J
	· · · · · ·		Waiver Request Fee Waive	er Application Form .docx	1
			Upload Attachment	Browse (PDF, DOC, DOCX 24000 KB max)	,
Add Document					

1. Under **Documents**, in the **Document** 1 section enter the following:

Field	Details
Document Type *	Select the document type e.g. Commence Case or Subsequent Filing
Filing Fee	This fee depends on the Document Type and needs to be paid via PayPal when the Filing is submitted. See Paying for Filings .
Document Note	Enter the title of the document (in line with the Annexure B, at the rear of this guide).

2. To upload your PDF file, under **Attachments**, click **Browse**. (**Note:** do not upload under the **Waiver Request** section (detailed in section **5.8**)).

The Choose File to Upload window displays.

3. Navigate to the required PDF document and double-click to attach.

	Important	If the file is too large, a message will display File is too large to attach . Large documents can be broken up into 5 x 24 Mb documents. There is a maximum of five files per Document Type.
4.	To view the doo	cument you attached, click on the File Name link.
	Important	Double check the document before you click Continue with Filing .
5.	To add more do	ocuments, click Add Document within the same eFiling ID.
	Important	Remember to upload Affidavits and exhibits as separate document attachments.

5.3 Remove Attachments

• To remove an attached document, click **Delete** to the right of the document name.

5.4 Filing Note



- In the Filing Note field you may wish to enter the following:
- If the case is urgent (please ensure you have contacted the relevant Registry by telephone), see **Urgent Documents**.
- Any related cases.
- Trial Estimate (minimum to maximum days).
- Any additional information.

5.5 Finalising New Case Request

- 1. Check all the entered information is correct.
- 2. Check the Added Documents are complete and correct.
- 3. Check the Filing Fee is correct.

The Filing Fee is displayed in the cost section.

Convenience Fee	\$.00	
Document Fee	\$1,065.10	
Total	\$1,065.10	
Paid	\$.00	
Owed	\$1,065.10	
Cancel Save Conti	nue with Filing	

- 4. Click Continue with Filing.
- This saves the data entered and displays a summary page with the New Case Request eFile ID.
- Quote this number when contacting the relevant Registry to discuss any queries relating to your filing requests.

New Case Req	uest 6751			
Filer Status	Test FilerOne Test Filer One Company Draft	Last Modified 28/06/2018 04:52	PM	
Site Case Type Initiating Action	Supreme Court Commercial Court (Non Judge-ma Commercial Building Disputes - B	anaged) ireach of Contract		
Parties				
Party 1				
Party Type Plain Legal 2 Representative Last Name Smi First Name John	ntiff Email caseman th	ageremail@mail.com		
Party 2				
Party Type Defe Company Test	endant : Corporation Pty Ltd (ACN 000 00	00 000)		
Documents				
Document 1				
Document Type	Commence Case - Writ filed	Attachments		
Filing Fee	\$1,065.10	File Name Page Count Date Up	loaded	
Page Count	t 1	Writ.pdf 1 28/06/2	018 04:52 PM	
Document Note	which led by the Phaintin.	Walver Request Fee Walver App	lication Form	n_docx
Document 2				
Document Type	Subsequent Filing - Affidavit		Attachment	8
Page Count	t 1		File Name	Page Count Date Uploaded
Document Note	Affidavit of John Smith, sworn	01-06-2018. Filed by the Plaintiff.	Affidavit.pdf	1 28/06/2018 04:52 PM
Document 3				
Document Type	Subsequent Filing - Exhibit/s fi	iled		Attachments
Page Count	t 1 Evhibit to the Affidentia of John	Smith muses 04 00 0040 Filed hus	the Distantial	File Name Page Count Date Uploaded
Document wole	Exhibit to the Amdavit of John	Smith, swom 01-06-2016. Filed by	ne Plaintin.	Exhibits.pdf 1 28/06/2018 04:52 PM
Filing Not	 Urgent - statute of limitation a 	pplies.		
Convenience Fe	e \$.00			
Document Fe	e \$1,065.10			
Tota	\$1,065.10			
Owe	d \$1,065.10			
Return Modify A	dd to Cart			

• Or, alternatively, click one of the following:

Action	Details
Cancel	Returns you to the My Filings work queue and cancels any additions or changes made to the New Case or Subsequent Filing request. Information entered on your screen will NOT be saved if you select this option.

Saves any additions or changes made to the New Case or Subsequent Filing request.

Your filing will be saved as a **Draft** and will be viewable on the **My Filings** page.

5.6 Filings not requiring Payment

Save

Where there is no prescribed fee for the filing of a document you should follow this process (otherwise proceed directly to **section 5.7 – Paying for Filings**):

cument 1				
Document Type	Subsequent Filing - Affidavit	Attachment	ts	1
Page Count	1	File Name	Page Count Date Uploaded	1
Document Note	Affidavit of John Smith, sworn 01-06-2018. Filed by the Plaintiff.	Affidavit.pd	f 1 28/06/2018 04:52 PM	1
				,
cument 2				
Document Type	Subsequent Filing - Exhibit/s filed		Attachments	
Document Type	and a second			
Page Count	1		File Name Page Count Date Uploaded	
Page Count Document Note	1 Exhibit to the Affidavit of John Smith, sworn 01-06-2018. Filed by	the Plaintiff.	File Name Page Count Date Uploaded Exhibits.pdf 1 28/06/2018 04:52 PM	
Page Count Document Note	1 Exhibit to the Affidavit of John Smith, sworn 01-06-2018. Filed by	the Plaintiff.	File Name Page Count Date Uploaded Exhibits.pdf 1 28/06/2018 04:52 PM	
Page Count Document Note	1 Exhibit to the Affidavit of John Smith, sworn 01-06-2018. Filed by	the Plaintiff.	File Name Page Count Date Uploaded Exhibits.pdf 1 28/06/2018 04:52 PM	
Page Count Document Note	1 Exhibit to the Affidavit of John Smith, sworn 01-06-2018. Filed by Urgent - statute of limitation applies.	the Plaintiff.	File Name Page Count Date Uploaded Exhibits.pdf 1 28/06/2018 04:52 PM	
Page Count Document Note Filing Note	1 Exhibit to the Affidavit of John Smith, sworn 01-06-2018. Filed by Urgent - statute of limitation applies.	the Plaintiff.	File Name Page Count Date Uploaded Exhibits.pdf 1 28/06/2018 04:52 PM	_
Page Count Document Note Filing Note Convenience Fee Total	1 Exhibit to the Affidavit of John Smith, sworn 01-06-2018. Filed by Urgent - statute of limitation applies. \$.00 \$.00	the Plaintiff.	File Name Page Count Date Uploaded Exhibits.pdf 1 28/06/2018 04:52 PM	_
Page Count Document Note Filing Note Convenience Fee Total Paid	1 Exhibit to the Affidavit of John Smith, sworn 01-06-2018. Filed by Urgent - statute of limitation applies.	the Plaintiff.	File Name Page Count Date Uploaded Exhibits.pdf 1 28/06/2018 04:52 PM	

1. Check all of the information you entered is correct and click Submit Filing.

Your My Filings page will display with the New Case Request listed awaiting approval.

My Filings						
Create New Case Rec	quest Create Subsequent	Filing Case Numl	ber			
Filing Status Draft Submitted Reviewing Rejected Refiled Accepted Completed Search	Filing Type	eFile ID Case Number Case Title Reference Tags Rows per page	30 🗸	Last Modified Begin Date Last Modified End Date Filing Begin Date Filing End Date	Site Site Stee Source	
Showing 1 to 1 of 1						
eFile ID	Filing Type			Case Number	Case Title	Filing Status
6751	New Case Request					Submitted

• Or, alternatively, click one of the following:

Action	Details
Return	Returns to My Filings queue (this saves the efile ID as a draft. To delete go the <i>My Filing</i> page, tick the tick box and click delete).
Modify	Make changes or modifications to your filing.

5.7 Paying for Filings

When the Document Type requires payment, the filing fee will display both underneath the Document Type and in the Total at the bottom of the screen.

1. Click Add to Cart.

Documents				
Document 1				
Document Type Filing Fee Page Count Document Note	Commence Case - Writ filed \$1,065.10 1 Writ filed by the Plaintiff.	Attachments File Name Page Count Date Up Writ.pdf 1 28/06/2 Waiver Request Fee Waiver App	bloaded 018 04:52 PM blication Form	n.docx
Document 2				
Document Type Page Count Document Note	Subsequent Filing - Affidavit 1 Affidavit of John Smith, sworn	01-06-2018. Filed by the Plaintiff.	Attachment File Name Affidavit.pdf	s Page Count Date Uploaded [1 28/06/2018 04:52 PM
Document 3				
Document Type Page Count Document Note	Subsequent Filing - Exhibit/s f 1 Exhibit to the Affidavit of John	iled Smith, sworn 01-06-2018. Filed by	the Plaintiff.	Attachments File Name Page Count Date Uploaded Exhibits.pdf 1 28/06/2018 04:52 PM
Filing Note	Urgent - statute of limitation a	pplies.		
Convenience Fee Document Fee Total Paid Owed Return Modify Ad	\$.00 \$1,065.10 \$.00 \$1,065.10 \$1,065.10 d to Cart			

The Filing Cart window displays.

Filing Description		Fees
New Case Request 6751 Case Type Commercial Court (Non Judge-managed) Initiating Action Commercial Building Disputes - Breach of Contract		\$1,065.10
Payment by Credit Card	Fee Total	\$1,065.10
Return to My Filings Submit Filings and Pay Now	Rei	move from Cart

2. Click Submit Filings and Pay Now.

You will be redirected to a PayPal payment screen.

Pay with PayPal	
Email address	PayPar
Password	New. Faster. Easier.
Stay logged in for faster checkout ?	Welcome to the new PayPal checkout.
Log In	The security you reply on – now even faster. It's everything checkout should be.
Having trouble logging in?	•
or	
Pay With Credit or Debit Card	

Copyright© 1999-2018 PayPal PayPal Australia Pty Limited ABN 93 111 195 389 (AFSL 304962). Any general financial product advice provided in this site has not taken into account your objectives, financial situations or needs.

- 3. From this screen you have two options:
- Log into PayPal and pay using your (or your organisation's) PayPal account.
- Pay with a credit card or a debit card.

4. Follow the PayPal prompts to make a payment. *The payment summary page displays.*

\bigcirc	
You paid \$4,163.0	60 AUD
to Details	
Paid with	

Important Keep a copy of the PayPal receipt for your records.

5. From the payment summary page, click Return to Seller's Website.

The RedCrest Payment Confirmation window displays.



6. Click My Filings.

The filed document displays in your My Filings Queue with status Submitted.

Showing 1 to	Showing 1 to 1 of 1								
eFile ID	Filing Type	Case Number	Case Title	Filing Status	File Date	+	Cost		
6751	New Case Request			Submitted	28/06/2018 05:34 PM		\$1,065.10		
							(Delete	

Note: Your payment will be **Pending** until the Court accepts your Filing(s) and will then complete your payment with PayPal. You will receive an email confirming that your document has been submitted for filing.

5.7.1 Failed Payment

- If your payment fails to process, check the card limit/restrictions or contact your merchant.
- If your payment has been processed but you haven't received a receipt from the Court Registry, contact the relevant Registry.

5.8 Submitting a Fee Waiver application

A fee waiver may be submitted for any application that requires payment of a prescribed fee. However, a waiver will only be granted in limited circumstances, that is, if the Prothonotary views that the payment of the prescribed fee will cause the Applicant financial hardship.

To upload a fee waiver:

1. Under **Waiver Request**, click **Browse...** to upload your completed fee waiver application.

Note: A blank fee waiver application may be downloaded by selecting the **Fee Waiver Application Form.docx** hyperlink, or via the Supreme Court website.

Documents			
Document 1			
Document Type *	Commence Case - Writ filed	Attachments	
Filing Fee	\$1,065.10	Upload Attachment	Browse
Document Note	^	Waiver Request Fee Waiver	Application Form .docx
	V	 Upload Attachment	Browse
Reviewer Comments			
1			

After the waiver application has been uploaded, the fee will be dismissed.

Convenience Fee	\$.00
Document Fee	\$1,065.10
Total	\$1,065.10
Paid	\$.00
Dismissed	\$1,065.10
Owed	\$1,065.10
Cancel Save Conti	nue with Filing
Cancer Save Contin	nue with Filing

2. If you are ready to submit your filing, click Continue with Filing.

This saves the data entered and displays a summary page with the New Case Request Number.

3. Select Submit Filing.

Convenience Fee	\$
Document Fee	\$1,065.10
Total	\$1,065.10
Paid	\$.00
Dismissed	\$1,065.10
Owed	\$1,065.10
Return Modify Sub	omit Filing

The filed document displays in your My Filings Queue with status Submitted.

Note: The fee waiver will be assessed separately to your court document/s and may take up to two business days to process.

If the fee waiver is refused by the Prothonotary, then the whole of the filing will be rejected.

If you wish to re-submit the documents and pay the requisite fee, you need to delete the fee waiver application attachment under **Waiver Request**. Payment for your application will proceed as set out under **Paying for Filings**.

6 Approval and Rejection of Filing

All documents submitted for filing are subject to review by the Registry. For New Case Requests, your submission won't be given a Case Number until it has been assessed and approved by the Registry.

6.1 Approved Filings

Once approved by the Registry, the document will appear in the **Court File** as the most recently filed document.

Documents appear in date order, from oldest to newest.

For **Commercial Court Judge-managed proceedings only**, a **Notification of Electronic Filing** email will be sent to the Case Manager of all parties advising that a document has been added to the Court File.

You will then need to attend to service of the filed document in the usual way.

Note: You can download a copy of your filed document stamped with the Supreme Court seal, along with the Case Number and the date and time of filing by clicking on the link in the acceptance email. Alternatively, the Case Manager can download the document from the case page in their *My* Cases list on RedCrest.



6.2 Rejected Filings

If the Registry Reviewer rejects your filing, in your **My Filings** queue, the **Filing Status** will display in red as **Rejected**.

To amend a Rejected Filing:

7. Open the record by clicking on the Filing.

<u>eFile</u> ID	Filing Type	<u>Case</u> Number	Case Title	<u>Filing</u> <u>Status</u>	File Date	<u>Cost</u>	
6757	New Case Request			Rejected	29/06/2018 12:18 PM	\$1,065.10	

Each section has a **Reviewer Comments** field which allows for specific instructions to the Filer.

Filing Note		
Reviewer Comments	Court are required to inclu	ide an email address in the tram tracks, pursuant to Pule 27.03(11)/b) of the Supreme Court (General Civil Procedure) Pules 2015
All documents filed with the	e court are required to incit	de an email address in the train tracks, pursuant to Kule 27.03 (11/b) of the Supreme Court (General Civil Procedure) Kules 2013.
Convenience Fee	\$.00	
Convenience Fee Document Fee	\$.00 \$1,065.10	
Convenience Fee Document Fee Total	\$.00 \$1,065.10 \$1,065.10	
Convenience Fee Document Fee Total Paid	\$.00 \$1,065.10 \$1,065.10 \$1,065.10	
Convenience Fee Document Fee Total Paid Owed	\$.00 \$1,065.10 \$1,065.10 \$1,065.10 \$.00	
Convenience Fee Document Fee Total Paid Owed	\$.00 \$1,065.10 \$1,065.10 \$1,065.10 \$.00	

Certain fields flagged by the Reviewer may also be highlighted in yellow.

- 8. Update the Filing as instructed by the Reviewer.
- 9. After making the required changes, click **Continue with Filing**.
- 10. Click Submit Filing.

6.2.1 Time-stamps on Rejected and Refiled Filings

If a Rejected and Refiled Filing has…	Then
No substantive changes made beyond those requested by Registry	The document will be time-stamped with the original date and time that the Rejected Filing was Submitted for filing.
Substantive changes made beyond those requested by Registry, including by adding additional documents with or without additional costs	The document will be time-stamped with the date and time the substantively changed document was Refiled .

7 Access Cases

Parties and their legal representatives will be able to have access to an electronic file for their proceedings:

- (b) where the proceeding is a Judge-managed Commercial Court proceeding which was initiated in RedCrest; or
- (c) where it was commenced after 2 July 2018 in the Common Law Division, Costs Court or Commercial Court non-Judge managed proceedings.

The **Case Manager** is the legal practitioner responsible for the conduct of the proceeding, or the party themselves (where self represented) as identified in the tram tracks for the first document filed on behalf of a party in a proceeding (or as otherwise updated from time to time).

Case Managers are required to register for access to RedCrest. Once this has been done, access to new proceedings will be automatically granted to the Case Manager for each party to the proceeding, upon them eFiling the first document for that party in the relevant proceeding. Should the Case Manager not be registered for RedCrest, that access will instead be granted to the filer.

7.1 Request Enhanced Access

Should any party or legal representative for a party (in addition to the Case Manager) require access to the electronic file of the proceeding, they will need to request Enhanced Access for each Case.

To get Enhanced Access for a Case:

1. From the Toolbar, click **Search**.

The Search window displays.

Supre	me Court of Vi	ctoria - Electron	i
Home Search e	File Orders Cases	🔁 \$4,163.60(1) 💌 (9) Log off (Ð
Court Information	Search		
Daily Hearing List Commercial Court Lists Rules Practice Note Fees Forms	Select your search criteria below. Fields mark	ked with * are required.	
Templates	Name Search Case Number Search		
	Case # * S ECI 2018 000	015 ×	,

- Click the Case Number Search tab. This field is case sensitive and must be entered exactly as it appears on the confirmation stamp (eg. S CI 201x 0xxxx or S ECI 201x 0xxxx).
- 3. In the Case # field, type the Case Number.

4. Click Search.

The Search Results window displays.

Search Results					
Displaying all 2 matches.					
A Case Number	<u>Party Type</u>	A Case Type	Case Status	File Date	
eFile 🔶 <u>S ECI 2018 00015</u>	Defendant	Commercial Court (Corporations)	Open	27/03/2018	
eFile 🔶 <u>S ECI 2018 00015</u>	Plaintiff	Commercial Court (Corporations)	Open	27/03/2018	

The search will bring up multiple results for the same proceeding number, just with a different party type. Clicking on any of the options will take users to the same case.

5. Click the star next to the Case Number(s) and then click the Case Number link.

The Request Enhanced Access details display.

ECI 2018 00015 In the matter of Smith Corp							
Case Type Commercial Court (Corporations) Case Status Open File Date 27/03/2018 DCM Track:	Initiating Action: CORPS Bankruptcy & Insolvency Status Date: 27/03/2018 Case Judge: Elliott, J Next Event:						
reFile Request Enhanced Access	eFile Request Enhanced Access						
All Information							

6. Click **Request Enhanced Access** to send your request to the RedCrest Help Desk for approval.

A notification Waiting on clerk for enhances access approval displays.

eFile C Waiting on clerk for enhanced access approval. Requested Date: 28/03/2018 02:08 PM

- 7. The Case Manager for your party then needs to **send an email to the RedCrest Help Desk** confirming you should be granted access to the electronic file/case page.
- 8. When the RedCrest Help Desk receives confirmation from the Case Manager, you will be granted access to the case page.

S ECI 2018 00015 In the matter of Smith Corp						
Case Type Commercial Court (Corporations) Case Status Open File Date 27/03/2018 DCM Track:	Initiating Action: CORPS Bankruptcy & Insolvency Status Date: 27/03/2018 Case Judge: Elliotl, J Next Event:					
nterile 🕈 Enhanced access is available for this case. Authorized Date: 23/03/2018 02:49 PM						
All Information						

7.2 Viewing the Case Page

Once you have requested Enhanced Access for a Case, it will be added to your case list.

Case Status	Symbol
Approved Enhanced Access has a lightning bolt symbol.	4



To view the Case:

1. From the Toolbar, click the **Cases** tab.

A list of Cases displays. Cases with a lightning bolt symbol have Enhanced Access.

	Su	pre	eme	e C	ou	rt of	Vi	ctoria	a - Electron	ic Fi	ling	3	
1	Home	Search	Results	eFile	Orders	Cases				E	\simeq	(9) Log (off 🖯
Case #		_			Cas	se Number		Party Type	Case Type		<u>Status</u>	File Date	
Last Name				*	C SE	CI 2018 00015		Plaintiff	Commercial Court (Corporations)		Open	27/03/2018	
				*	C SE	CI 2018 00015		Defendant	Commercial Court (Corporations)		Open	27/03/2018	
First Name		_		*	4 SE	CI 2018 00013		Plaintiff	Commercial Court (Corporations)		Open	23/03/2018	
Or Search by Business Name				*	∮ SE	CI 2018 00013		Defendant	Commercial Court (Corporations)		Open	23/03/2018	

2. Click the required Case Number to open the Case page.

The Case details display.

S ECI 2018 00013 In the matter of Smith and Co.										
Case Type Initiating Action: Commercial Court (Corporations) CORPS Bankruptcy & Insolvency Case Status Status Date: Open 23/03/2018 File Date Case Judge: 23/03/2018 Elliott, J Next Event: Next Event:										
File File PEnhanced access	s is available for this ca	se. Authorized Da	ate: 23/03/2018 02:49 PM							
All Information Event Cas	e Log									
Events										
Date	Session	Locality	Location	¢ Type	Event Judge	Result				
27/03/2018 10:30 AM	Admiralty List		Courtroom 2 - SC Melb (William St)	First Directions	Elliott, J					
Case Log Information	ı									
Case Log Date	Case Log		Case Log Text		Imag	e Avail.				
23/03/2018	Affidavit in Sup	port filed	Affidavit in Support filed Affidavit filed by plaintiff eFiling submission date: 23/03/201	8 02:38 PM	[]	mage				
23/03/2018	Exhibit/s filed		Exhibit/s filed Exhibit filed by plaintiff eFiling submission date: 23/03/201	Exhibit/s filed Exhibit filed by plaintiff eFiling submission date: 23/03/2018 02:38 PM						

- 3. A Case Page contains general information about your case including the:
- Case Number
- Case Type
- Case Status
- File Date
- Initiating Action
- Case Judge
- Next Hearing Date
- Events
- Case Log Information
- Electronic Court File Documents

Note: The majority of information is extracted from the details provided by the Plaintiff at the time of initiation and, subsequently, from other filed documents like the Notice of Appearance.

7.3 Electronic Court File Documents

All of the documents filed by the Court and other parties into the Case are viewable to the parties in the proceeding (apart from sensitive/confidential documents filed in accordance with the relevant Registry's instructions).

Case Log Information				
Case Log Date	♦ Case Log	Case Log Text	Image Avail.	
23/03/2018	Affidavit in Support filed	Affidavit in Support filed Affidavit filed by plaintiff eFiling submission date: 23/03/2018 02:38 PM	Image	
23/03/2018	Exhibit/s filed	Exhibit/s filed Exhibit filed by plaintiff eFiling submission date: 23/03/2018 02:38 PM	Image	

To view the Court File Documents:

- 1. Under Case Log Information, locate the required Case.
- 2. Under Image Avail. click on the Image icon next.

The document opens. It may take a while to load.

8 Managing Access to a Case

8.1 Enhanced Case Access

The Case Manager is responsible for notifying the RedCrest Help Desk of any additional people who should be granted Enhanced Case Access for a case page.

This should be done via an email to the RedCrest Help Desk at the time the user has requested Enhanced Case Access through RedCrest.

If a party is self-represented, only the party is able to be given access to the case.

8.2 Departing Staff members

- The Case Manager is responsible for ensuring only appropriate users have access to the case page. This includes updating access when legal practitioners leave a firm or new counsel is briefed.
- We recommend that access to RedCrest be incorporated into your legal firm HR policies, particularly your staff exit policy and procedures.
- In circumstances where the departing staff member is also a RedCrest Case Manager, then they should transfer that status to a nominated staff member prior to their departure.
- In both instances the RedCrest Help Desk must be notified, in writing, of the staff member's departure so that their system account can also be deactivated.

9 File a Subsequent Filing

- 1. From the Toolbar, click the **eFile** tab.
- 2. Use one of the following options to add a Subsequent Filing:
- In the Case Number field type the Case Number. This field is case sensitive and must be entered <u>exactly</u> as it appears on the confirmation stamp (eg. S CI 201x 0xxxx or S ECI 201x 0xxxx).



• Click Create Subsequent Filing.

OR

Showing 1 to 3 of 3							
<u>eFile ID</u>	Filing Type	Case Number	Case Title	Filing Status	File Date	Cost	
3719	New Case Request			Filed	29/03/2018 02:25 PM	\$4,163.60	
3715	New Case Request	S ECI 2018 00015	S ECI 2018 00015 In the matter of Smith Corp	Completed	27/03/2018 03:45 PM	\$.00 💽	
3714	New Case Request	S ECI 2018 00013	S ECI 2018 00013 In the matter of Smith and Co.	Completed	23/03/2018 02:38 PM	\$.00 +	
						D	elete

• (If you have created a case) From your **My Filings** queue, click the + sign to the right of the required Filing.

The Subsequent Filing page displays.

Subsequent Filing - S ECI 2018 00015 In the matter of Smith Corp				
Case Number Filer Status	<u>S ECI 2018 00015</u> Gerlinda Bates Draft	Practitioner/Bar Roll Number Reference Tags		
Site Case Type Initiating Action	Supreme Court Commercial Court (Corp Corporations - Bankrupt	porations) icy & Insolvency		

3. Enter the following:

Field	Details		
Practitioner/Bar Roll Number	Type your firm's number.Note:If an error appears, try tabbing out of the field and entering it again after selecting Case Type.If the error continues, leave the field blank and enter the number in the Filing Note field.		
Reference Tags	 a Reference tag, if required. This is not a mandatory tag but can be used to record your firm's internal case reference number. 		

- 4. In the **Parties** field, next to **On Behalf Of** select the Party you are filing on behalf of or add a new party to an existing case. If you are filing on behalf of more than one Party, you need only select one of those parties (you cannot select more than one Party). The details in the filing will be applied to all relevant parties.
- **Note:** In some particular cases where sensitivities arise, you will be unable to formally select filing **On Behalf Of** that particular party. This will not prohibit you from lodging

your document – no selection is required in these instances. In these circumstances $\underline{do \ not}$ proceed to **Add Party** (outlined below).

5. The next steps are the same as Create a New Case Request:

Step	Action			
Add a new Party	 Click Add Party. Note: This should only be completed in limited circumstances (e.g. adding creditor as an 'other party' to a proceeding.) In most instances, an order is required Follow the steps in Add Parties – Party 1 (if applicable). 			
Add Documents	• Follow the steps in Add Documents .			
Remove Attachments	• Follow the steps in Remove Attachments .			
Filing Note	• Follow the steps in Filing Note .			
Finalising your Subsequent Filing	 Follow the steps in Finalising New Case Request. Note: There may be additional fees for Subsequent Filings. 			
Additional Fees for Subsequent Filing	 Follow the steps in Paying for Filings. Note: Print the PayPal receipt for your records. Note: Your payment will be Pending until the Court accepts your Filing(s) and will then complete your payment with PayPal. 			

10 Important Contacts

10.1 Procedural Assistance: Registry

For enquiries or requests for procedural assistance, please contact the relevant Registry on: Commercial Court Registry (Judge-managed proceedings in the Commercial Court):

- Phone: (03) 9603 4105
- Email: commercialcourt@supcourt.vic.gov.au

Principal Registry (Common Law Division, Costs Court and Commercial Court (non-Judge managed proceedings):

• Phone: (03) 9603 9300

Self-represented Litigant enquiries:

- Phone: (03) 9603 9240
- Email: <u>unrepresented@supcourt.vic.gov.au</u>

10.2 Technical Assistance: RedCrest Help Desk

For all initial inquiries or requests for technical assistance regarding RedCrest, please contact the RedCrest Service Desk on:

- Phone: +61 3 9603 4705
- Email: redcrest@supcourt.vic.gov.au

You may be asked to provide the following details:

- Your name
- Contact information
- Nature of the inquiry or incident;
- Case Number and/or eFile ID (if appropriate); and
- Screenshots of the problem you are experiencing.

11 Annexure A: Specific Documents

Requirements for specific documents eFiled in RedCrest:

11.1 Amending Documents

All amendments will take the form of a new document and will be checked by the Prothonotary for compliance with the Rules or the order of the Court granting leave to amend. When an amended document is filed, a reason must be stated in the filing notes section of RedCrest for the amendment (for example, by leave of a Judge) as well as endorsed on the title of the document itself [see **Order 36** of the Rules].

11.2 Affidavit and Exhibits

Affidavits and exhibits are required to be submitted for filling in RedCrest as separate documents (each has its own content type). Exhibits may be filed together as a bundle.

11.3 Subpoenas

Subpoenas eFiled in Forms 42A, 42B, 42C and 42AA must contain a date for service and a date, time and place for production before being submitted. The date for production and/or attendance relating to a Form 42A, 42B or 42C subpoena must be the first date of trial [refer to **rule 42.03(6)** of the Rules]. The date for production of documents relating to a Form 42AA subpoena must be at least five business days after the last date for service [see **rule 42.03(8)(a)** of the Rules] (or 14 days, where the addressee is to be served outside of Victoria). The nominated date for service and production must also fall on business days (that is, when the Office of the Court is open, see **rule 3.01** of the Rules].

11.3.1 Service of Subpoenas

Once a subpoena has been accepted, a sealed copy of the Subpoena must be printed for service.

Note: When service of a subpoena outside of Victoria is required, ensure that the *Service and Execution of Process Act 1992* (Cth) (SEPA) Form 2 is attached to the document.

11.3.2 Short service of subpoenas

To eFile a subpoena using **Form 42A**, **42B or 42C** for production of documents and/or attendance at the trial of the proceeding, where less than 5 business days remain before the beginning of trial (or 14 days, where the addressee is to be served outside of Victoria), an order of a Judge abridging the time for service must be obtained before eFiling and subsequently attached to the eFiled document.

Please contact the Trial Judge's Associate to arrange an order, or contact the Registry for more information on (03) 9603 9300.

11.3.3 Inspection of documents produced under subpoena

As noted in section 1.5.3, documents produced in response to a subpoena cannot be eFiled.

If a party has issued a subpoena using **Form 42AA**, that party must eFile an Affidavit of Service, using the Document Type '*Subsequent Filing – Affidavit of Service of Subpoena*' [see **rule 42A.04** of the Rules.]

The requisite fees to inspect subpoenaed documents cannot be paid via RedCrest; payment must be made in person at the Prothonotary's office on the day of your appointment for inspection.

Appointments to inspect subpoenaed documents may be made by phoning (03) 9603 9300 or by email to <u>subpoenas@supcourt.vic.gov.au</u>.

11.4 Urgent Applications

A summons and affidavit in support must be eFiled via RedCrest (with initiating document if the application is not made in an existing proceeding). Practitioners and parties must have first sought direction from the applicable registry.

Please refer to the:

Commercial Court Registry on 9603 4105, for queries with respect to any urgent hearings before the Commercial Court Duty Judge

Practice Court Coordinator on 9603 9288, for queries with respect to any hearings before the Practice Court (Common Law).

Also see the Supreme Court website for further information at <u>https://www.supremecourt.vic.gov.au/forms-fees-and-services/registry-services/advice-on-urgent-matters</u>.

11.5 Applications before Judge in Charge of List

An originating document requiring a hearing date or a summons and affidavit in support must be eFiled in RedCrest. Filing parties must have sought approval for a hearing date, attached the approval email and inserted the approved date of hearing in the summons before attempting to eFile.

Please consult the Practice Notes on the Supreme Court website for instructions on how to apply for a hearing date, noting that there might be specific instructions for the list your proceeding has been entered into: <u>https://www.supremecourt.vic.gov.au/law-and-practice/specialist-areas-of-law</u>.

11.6 Applications before Associate Judge or Judicial Registrar

An originating document requiring a hearing date or a summons and affidavit in support must be eFiled in RedCrest. Practitioners and parties must have sought approval for a hearing date, attached the approval email and inserted the approved date of hearing in the summons before attempting to eFile.

Where your matter has been entered into a specialist list, please follow the relevant Practice Note for instructions on how to obtain a hearing date. For all interlocutory applications not dealt with in a specialist area, as well as the hearing or management of matters in the original jurisdiction of the Associate Judges or Judicial Registrars, please see the Supreme Court website for instructions on how to apply for a hearing date at

https://www.supremecourt.vic.gov.au/about-the-court/our-judiciary/associate-judges-jurisdiction

11.7 Summons for Taxation of Costs

11.7.1 Party Party Taxations

A summons for taxation of costs, itemised bill of costs (or disbursement invoices and undertaking to pay said invoices in relation to Bills under \$30,000), document authorising the taxation of costs (for example, an order) and the Costs Court – Party Party Taxation Information Form must be eFiled. Filing parties must have sought approval for a hearing date, attached the approval email and completed Information Form and inserted the approved date of hearing in the summons before attempting to eFile. Please consult the most recent Costs Court Practice Note, Notices to the Profession and further instructions on how to apply for a hearing date at

https://www.supremecourt.vic.gov.au/law-and-practice/areas-of-the-court/costs-court

11.7.2 Solicitor Client Taxations

A summons for taxation of costs and itemised bill of costs (or invoices) relating to a Solicitor Client Taxation, must be eFiled. Practitioners must have inserted the next callover hearing date in the summons before attempting to eFile. Please consult the Supreme Court website for the list of callover dates at

https://www.supremecourt.vic.gov.au/law-and-practice/areas-of-the-court/costs-court

11.8 Judgment in Default of Appearance or Defence

Where the Prothonotary has power to enter a judgment in default, an affidavit in support and exhibits may be eFiled in accordance with **Order 21** of the Rules and the judgment is entered online.

All documentation in support of the default judgment application (including the appropriate form and the following documents if appropriate – search for an appearance, affidavit of service, where judgment is for debt, an affidavit of solicitor outlining the interest calculations and, where the judgment is in default of defence, an affidavit of non-service of defence), should be electronically filed as single attachments in the one filing submission.

Please ensure that all sections of the applicable default judgment form are completed and that the 'date entered' reflects the current date.

Please note that default judgments may not be accepted for filing on the same day that they are eFiled, see 1.2.

Please refer to SC CC 1 Commercial Court Practice Note for the process in relation to Commercial Court Judge-managed matters at: <u>https://www.supremecourt.vic.gov.au/law-and-practice/practice-notes/sc-cc-1-commercial-court-first-revision</u>

11.9 Warrants of Execution

Where parties are seeking a warrant of execution, the affidavit in support and exhibits must be eFiled. Upon acceptance by the Court, a sealed copy of the warrant should be forwarded to the Sheriff's Office.

Please ensure that all sections of the warrant are completed and that the 'date filed' reflects the current date.

12 Annexure B – Naming Conventions

Please see recommended text within the 'Document Note' field, detailed below:

Documents					
Document 1					
Document Type *		Attachments			
Document Note	0	Upload Attachment		Browse	(PDF 24000 KB max)
Reviewer Comments					

Document type	Document Note field text
Commence Proceeding-(all types of initiating process)	[Document Name] filed by [party type].
Subsequent Filing – Affidavit <i>(all types)</i>	Affidavit of [deponent] sworn [insert date], filed by [party type].
Subsequent Filing – Affidavit of Service of Subpoena	Affidavit filed by [party type], served on [served party/addressee]. Subpoena addressed to [insert addressee].
Subsequent Filing – Exhibits	Exhibits [or list exhibit number] to the Affidavit of [deponent] sworn [insert date], filed by [party type].
Subsequent Filing – Amended Document <i>(all types)</i>	Amended [Document type], filed pursuant to Order of [insert Judicial Officer] made on [date of Order]. OR Amended [Document type], filed pursuant to Rule [insert Rule] of the Supreme Court (General Civil Procedure) Rules 2015.
Subsequent Filing – Expert Report	Expert Report of [insert expert], filed by [party type].
Subsequent Filing – Notice of Contribution	Notice claiming contribution against [party type], filed by [party type].
Subsequent Filing – Notice of Ceasing to Act	Notice of Ceasing to Act filed by [firm name], ceasing to act for [party type].
Subsequent Filing – Receipt for Hearing Fee	Receipt for Hearing Fee - [insert day] of trial.
Subsequent Filing – Receipt for Jury Fee	Receipt for Jury Fee - [insert day] of trial.
Subsequent Filing – Subpoenas (all types)	Subpoena filed by [party type]. Addressed to [insert addressee].
Subsequent Filing – Witness Statement (all types)	Witness Statement of [insert witness], filed by [party type].
*All other documents	[Document Name] filed by [party type].